



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki et al.                      Art Unit : 2673  
Serial No. : 09/504,235                                      Examiner : David L. Lewis  
Filed : February 15, 2000                                      Confirmation No.: 7610  
Title : METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SECOND REQUEST FOR INITIALED PTO FORM 1449**

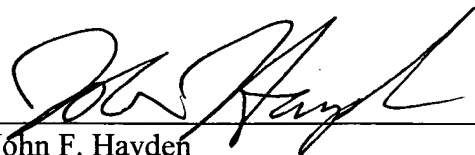
Further to a request filed on December 16, 2004 and upon reviewing the file, applicants noted that they have not received initialed copies of the enclosed PTO Forms 1449 and 892 (**4 total pages**) that accompanied the information disclosure statements filed:

January 11, 2001;  
August 27, 2002 (also Request for Initialed PTO-1440 Form filed December 2, 2002);  
December 18, 2002; and  
February 19, 2003 (also Transmittal of English Translation filed April 7, 2003).

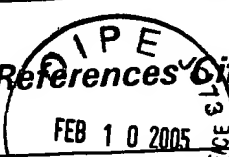
Applicants' records show that these information disclosure statements complied with 37 CFR 1.97. Thus, we respectfully request that the examiner initial and return these forms as soon as possible. Note, applicants are paying the issue fee concurrently herewith, so the examiner's prompt attention to this matter would be appreciated.

Respectfully submitted,

Date: February 10, 2005

  
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John F. Hayden  
Reg. No. 37,640

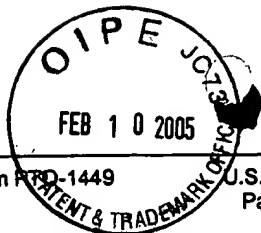
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(202) 783-2331 facsimile

<b>Notice of References Cited</b> 	Application No. <b>09/013,960</b>	Applicant(s) <b>Yamazaki et al.</b>	
	Examiner <b>M. Wilczewski</b>	Group Art Unit <b>2822</b>	Page 1 of 1

U.S. PATENT DOCUMENTS						
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A		5,529,937	6/1996	Zhang et al.	----	----
B		5,376,561	12/1994	Vu et al.	----	----
C		5,618,739	4/1997	Takahashi et al.	438	158
D		5,488,012	1/1996	McCarthy	----	----
E		4,838,654	6/1989	Hamaguchi et al.	350	333
F						
G						
H						
I						
J						
K						
L						
M						

FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N	2-154232 /	6/1990	JP	(unknown)	---	---
O						
P						
Q						
R						
S						
T						

NON-PATENT DOCUMENTS	
	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)
U	
V	
W	
X	



Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>07977-008004</b>	Application No. <b>09/504,235</b>
		Applicant <b>Yamazaki, et al.</b>	
		Filing Date <b>February 15, 2000</b>	Group Art Unit <b>2673</b>

**Information Disclosure Statement  
by Applicant**  
(Use several sheets if necessary)

(37 CFR §1.98(b))

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	4-170520	Jun 18, 1992	Japan			FULL	
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FEB 10 2005

Sheet 1 of 1

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement          by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-008004	Application No. 09/504,235
	Applicant Yamazaki, et al.		
	Filing Date February 15, 2000	Group Art Unit 2673	

### U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5 569 610	Oct. 29, 1996	Zhang et al.			
	AB	5 614 733	Mar 25, 1997	Zhang et al.			
	AC	5 783 468	Jul 21, 1998	Zhang et al.			
	AD	5 608 232	Mar 4, 1997	Yamazaki et al.			
	AE	6 376 333	Apr 23, 2002	Yamazaki et al.			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	4-178633	6/25/1992	JP			ABSTRACT	
	AM	6-244103	9/2/1994	JP			ABSTRACT	
	AN	6-318701	11/15/1994	JP			ABSTRACT	
	AO	8-288522	11/1/1996	JP			ABSTRACT	
	AP							

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature

Date Considered

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Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-008004-US2938D1D2	Application No. 09/504,235
	Applicant Yamazaki, et al.		
	Filing Date February 15, 2000	Group Art Unit 2673	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,766,477	8/23/1988	Nakagawa, et al.			
	AB	5,313,076	5/17/1994	Yamazaki, et al.			
	AC	5,315,132	5/24/1994	S. Yamazaki			
	AD	5,543,636	8/6/1996	S. Yamazaki			
	AE	5,858,823	1/12/1999	Yamazaki, et al.			
	AF	5,886,366	3/23/1999	Yamazaki, et al.			
	AG	5,923,968	7/13/1999	Yamazaki, et al.			
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	AI	6,169,292 B1	1/2/2001	Yamazaki, et al.			
	AJ	6,221,701 B1	4/24/2001	S. Yamazaki			
	AK	6,265,745 B1	7/24/2001	Kusumoto, et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
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